



# WINTER & ASSOCIATES, INC.

— YOUR FAMILY LEGACY IS OUR FAMILY BUSINESS —

## Table of Fees for Services

Carefully read Item 4 and Item 5 of Form ADV Part 2A ("Brochure"), as these sections of the Brochure contain important details about Winter & Associates' advisory services and fees. Fees may be negotiable. The fees below will only apply to you when you request the services listed.

Fees Charged by Investment Adviser	Fee Amount	Frequency Fee is Charged	Services
Assets Under Management Fee	First \$500,000	1.00%	Portfolio Management, Financial Planning, and Selection of Other Advisors, Pension/Retirement Plan Consulting
	Next \$1.5 million (\$500,001 to \$2.0 million)	0.63%	
	Next \$1.0 million (\$2,000,001 to \$3.0 million)	0.52%	
	Next \$1.0 million (\$3,000,001 to \$4.0 million)	0.41%	
	Next \$1.0 million (\$4,000,001 to \$5.0 million)	0.26%	
	Over \$5 million	0.11%	
Hourly Fee	\$250/hour	Annually (billed quarterly)	Financial Planning
Upon Presentation of Financial Plan			
Fees Charged by Third Parties	Fee Amount	Frequency Fee is Charged	Services
Third Party Money Manager	0.2 - 0.45%	Annually (billed quarterly)	Platform Management
Robo-Adviser Fee	\$0.00	N/A	N/A
<b>Talk with your Adviser about fees and costs applicable to you</b>			

### Additional fees and costs to discuss with your Adviser

Additional Fees/Cost	Yes/No	Paid To
Brokerage Fees	No	
Commissions	No	
Custodian Fees	Yes	Custodian
Mark-ups	No	
Mutual Fund/ETF Fees and Expenses	Yes	Custodian/Investment Company

Effective January 1, 2021